



## Certified Professional Guardianship and Conservatorship Board

Monday, October 14, 2024  
9:00 a.m. – 1:00 p.m.

### MEETING MINUTES

#### Members Present

Judge Cadine Ferguson-Brown, Chair  
Commissioner Soloman Kim, Vice Chair  
Judge Jeremy Schmidt<sup>1</sup>  
Commissioner Lynn Fleischbein  
Ms. Kristina Hammond  
Ms. Camille Minogue  
Dr. K. Penney Sanders  
Mr. Daniel Smerken  
Dr. Anita Souza  
Ms. Suzanne Thompson Wininger<sup>2</sup>

#### Staff Present

Ms. Stacey Johnson  
Ms. Kathy Bowman  
Ms. Cynthia Kennedy  
Ms. Thai Kien  
Ms. Kay King  
Ms. Collette Mason  
Ms. Maureen Roberts  
Ms. Rhonda Scott  
Ms. Sherri White

#### Members Absent

Judge Nancy Retsinas

**Guests** – See last page

#### 1. Meeting Called to Order

Judge Ferguson-Brown called the October 14, 2024 Certified Professional Guardianship and Conservatorship (CPGC) Board meeting to order at 9:00 a.m.

#### 2. Welcome, Roll Call & Approval of Minutes

Judge Ferguson-Brown welcomed all present and called for approval of the minutes.

Motion: Mr. Smerken moved to approve the September 9, 2024 minutes as written. Dr. Sanders seconded. The motion passed.

#### 3. Chair's Report

Judge Ferguson-Brown reminded the group that the November meeting will be held on the 18<sup>th</sup> to accommodate the observation of Veterans' Day. The meeting will begin at 7:30 am. She thanked and welcomed the new Board members. Committee Co-Chairs were announced. Commissioner Soloman Kim was nominated as Vice Chair of the Board.

Motion: Dr. Sanders moved to appoint Commissioner Soloman Kim as Vice Chair of the Board. Mr. Smerken seconded. The motion passed.

#### 4. Public Comment Period

The Board heard from Deborah Jameson, on behalf of WAPG, regarding the CPGC application process. She observed that applicants often need more experience in

<sup>1</sup> Judge Schmidt joined at 10:10 am.

<sup>2</sup> Ms. Thompson Wininger left at 11:29 am.

decision-making or the use of independent judgement for the benefit of others. She suggested that the tentative mentor program is insufficient to meet this need, as the mentors would not give this responsibility to apprentices/new hires.

Discussion around OPG mentorship.

The Board heard from CPGC Christopher Fast, regarding the CPGC searchable database. He was unable to research a past CPGC using the Guardian/Conservator Directory. He noted that other licensed professions such as attorneys, nurses, etc., have permanent searchable databases. Showing information on inactive and decertified CPGCs would be useful for two main reasons:

1. Prevents decertified from starting over.
2. Ability to locate CPGCs for legal proceedings.

It would also recognize honorable retirees and past CPGCs.

Ms. Johnson noted that AOC IT has looked into this previously, and could revisit the issue.

## **5. Application Committee Report**

Ms. White presented on the annual recertification process. She covered Regulation 700, administrative reminders, and hearings. Slides available in meeting materials.

## **6. Regulations Committee**

Mr. Smerken proposed that the board approve a technical correction to Regulation 508.3 Resolution with Complaint, Notice to Answer, The correction would remove two redundant paragraphs. Mr. Smerken also proposed changes to Regulation 509.5 Interim Suspension for Conviction of a Crime. If approved, the proposed changes will be posted for Public comment. To be voted on with other action items.

## **7. Grievance Report**

Ms. Scott presented the October grievance report, which is available in the meeting packet. Last month, the Board received nine (9) new grievances. There are a total of twenty-nine (29) unresolved grievances. Currently, there are two hundred sixty (260) active CPGCs.

## **8. Break**

## **9. Executive Session (Closed to Public)**

## **10. Reconvene (Open to Public)**

## **11. Vote on Executive Session Discussion**

On behalf of the Regulations Committee, Mr. Smerken presented proposed regulation amendments for Board action:

Motion: Mr. Smerken moved to amend Regulation 508.3 with a technical correction, effective immediately. Commissioner Kim seconded. None opposed. Judge Schmidt abstained.

Motion: Mr. Smerken moved to amend Regulation 509.5 and publish the proposed change for public comment. Commissioner Kim seconded. None opposed. Judge Schmidt abstained.

On behalf of the Application Committee, Ms. Minogue presented the following application for Board action:

Motion: Ms. Minogue moved to conditionally approve Katie Stoner's application for certification, with transferrable skills in social services and financial, conditioned on the successful completion of the UW program. Dr. Sanders seconded. None opposed. Ms. Thompson Winger abstained. The motion carries.

On behalf of the Standards of Practice Committee, Dr. Sanders presented the following grievances for Board action:

Motion: Dr. Sanders moved to forward grievance 2024-050 to Superior Court as a complete grievance. Commissioner Fleischbein seconded. None opposed. Judge Schmidt and Ms. Thompson Winger abstained. The motion carries.

Motion: Dr. Sanders moved to forward grievance 2024-051 to Superior Court as a complete grievance. Commissioner Fleischbein seconded. None opposed. Judge Schmidt and Ms. Thompson Winger abstained. The motion carries.

Motion: Dr. Sanders moved to forward grievance 2024-052 to Superior Court as a complete grievance. Commissioner Fleischbein seconded. None opposed. Judge Schmidt abstained. Ms. Thompson Winger recused. The motion carries.

Motion: Dr. Sanders moved to dismiss grievance 2024-053 as an incomplete grievance. Commissioner Fleischbein seconded. None opposed. Judge Schmidt and Ms. Thompson Winger abstained. The motion carries.

Motion: Dr. Sanders moved to dismiss grievance 2024-054 for no jurisdiction. Commissioner Fleischbein seconded. None opposed. Judge Schmidt and Ms. Thompson Winger abstained. The motion carries.

Motion: Dr. Sanders moved to forward grievance 2024-055 to Superior Court as a complete grievance. Commissioner Fleischbein seconded. None opposed. Judge Schmidt and Ms. Thompson Winger abstained. The motion carries.

Motion: Dr. Sanders moved to have staff investigate grievance 2024-056. Commissioner Fleischbein seconded. None opposed. Judge Schmidt and Ms. Thompson Winger abstained. The motion carries.

Motion: Dr. Sanders moved to forward grievance 2024-057 to Superior Court as a complete grievance. Commissioner Fleischbein seconded. None opposed. Judge Schmidt and Ms. Thompson Winger abstained. The motion carries.

Motion: Dr. Sanders moved to forward grievance 2024-058 to Superior Court as a complete grievance. Commissioner Fleischbein seconded. None

opposed. Judge Schmidt and Ms. Thompson Wininger abstained. The motion carries.

Motion: Dr. Sanders moved to dismiss grievance 2024-009 as it has been adjudicated by court. Commissioner Fleischbein seconded. None opposed. Judge Schmidt and Ms. Thompson Wininger abstained. The motion carries.

Motion: Dr. Sanders moved to defer grievance 2023-035 pending additional information. Commissioner Fleischbein seconded. None opposed. Judge Schmidt abstained. Ms. Thompson Wininger recused. The motion carries.

Motion: Dr. Sanders moved to have staff investigate grievance 2024-037. Commissioner Fleischbein seconded. None opposed. Judge Schmidt and Ms. Thompson Wininger abstained. The motion carries.

Motion: Dr. Sanders moved to dismiss grievance 2024-038 following review of court actions. Commissioner Fleischbein seconded. None opposed, Judge Schmidt abstained. Ms. Thompson Wininger recused. The motion carries.

Motion: Dr. Sanders moved for the Board to resolve grievance 2024-005. Commissioner Fleischbein seconded. None opposed. Judge Schmidt and Ms. Thompson Wininger abstained. The motion carries.

Motion: Dr. Sanders moved to proceed to a hearing on November 7, 2024 regarding grievance 2022-059. Commissioner Fleischbein seconded. None opposed. Judge Schmidt and Ms. Thompson Wininger abstained. The motion carries.

## **12. Wrap Up/Adjourn**

Judge Ferguson-Brown expressed her appreciation for the members of the Board. With no other business to discuss, Judge Ferguson-Brown adjourned the October 14, 2024 CPGC Board meeting at 12:05 p.m. The next Board meeting will take place via Zoom on November 18, 2024 beginning at 7:30 a.m.

### Recap of Motions:

MOTION SUMMARY		STATUS
Motion	Mr. Smerken moved to approve the September 9, 2024 minutes as written. Dr. Sanders seconded.	Passed
Motion	Dr. Sanders moved to appoint Commissioner Soloman Kim as Vice Chair of the Board. Mr. Smerken seconded.	Passed
Motion	Mr. Smerken moved to amend Regulation 508.3 for a technical correction. Commissioner Kim seconded. None opposed. Judge Schmidt abstained.	Passed
Motion	Mr. Smerken moved to amend Regulation 509.5 and publish the proposed change for public comment.	Passed
Motion	Ms. Minogue moved to conditionally approve Katie Stoner's application for certification with transferrable skills in social services and financial. Dr. Sanders seconded. None opposed. Ms. Thompson Winger abstained.	Passed
Motion	Dr. Sanders moved to forward grievance 2024-050 to Superior Court as a complete grievance. Commissioner Fleischbein seconded. None opposed. Judge Schmidt and Ms. Thompson Winger abstained.	Passed
Motion	Dr. Sanders moved to forward grievance 2024-051 to Superior Court as a complete grievance. Commissioner Fleischbein seconded. None opposed. Judge Schmidt and Ms. Thompson Winger abstained.	Passed
Motion	Dr. Sanders moved to forward grievance 2024-052 to Superior Court as a complete grievance. Commissioner Fleischbein seconded. None opposed. Judge Schmidt abstained. Ms. Thompson Winger recused.	Passed
Motion	Dr. Sanders moved to dismiss grievance 2024-053 as an incomplete grievance. Commissioner Fleischbein seconded. None opposed. Judge Schmidt and Ms. Thompson Winger abstained.	Passed
Motion	Dr. Sanders moved to dismiss grievance 2024-054 for no jurisdiction. Commissioner Fleischbein seconded. None opposed. Judge Schmidt and Ms. Thompson Winger abstained.	Passed
Motion	Dr. Sanders moved to forward grievance 2024-055 to Superior Court as a complete grievance. Commissioner Fleischbein seconded. None opposed. Judge Schmidt and Ms. Thompson Winger abstained.	Passed
Motion	Dr. Sanders moved to have staff investigate grievance 2024-056. Commissioner Fleischbein seconded. None opposed. Judge Schmidt and Ms. Thompson Winger abstained.	Passed
Motion	Dr. Sanders moved to forward grievance 2024-057 to Superior Court as a complete grievance. Commissioner Fleischbein seconded. None opposed. Judge Schmidt and Ms. Thompson Winger abstained.	Passed

Motion	Dr. Sanders moved to forward grievance 2024-058 to Superior Court as a complete grievance. Commissioner Fleischbein seconded. None opposed. Judge Schmidt and Ms. Thompson Winingar abstained.	Passed
Motion	Dr. Sanders moved to dismiss grievance 2024-009 as it has been adjudicated by court. Commissioner Fleischbein seconded. None opposed. Judge Schmidt and Ms. Thompson Winingar abstained.	Passed
Motion	Dr. Sanders moved to defer grievance 2023-035 pending additional information. Commissioner Fleischbein seconded. None opposed. Judge Schmidt abstained. Ms. Thompson Winingar recused.	Passed
Motion	Dr. Sanders moved to have staff investigate grievance 2024-037. Commissioner Fleischbein seconded. None opposed. Judge Schmidt and Ms. Thompson Winingar abstained.	Passed
Motion	Dr. Sanders moved to dismiss grievance 2024-038 following review of court actions. Commissioner Fleischbein seconded. None opposed, Judge Schmidt abstained. Ms. Thompson Winingar recused.	Passed
Motion	Dr. Sanders moved for the Board to resolve grievance 2024-005. Commissioner Fleischbein seconded. None opposed. Judge Schmidt and Ms. Thompson Winingar abstained.	Passed
Motion	Dr. Sanders moved to proceed to a hearing on November 7, 2024 regarding grievance 2022-059. Commissioner Fleischbein seconded. None opposed. Judge Schmidt and Ms. Thompson Winingar abstained.	Passed
Motion	Dr. Sanders moved to forward grievance 2024-050 to Superior Court as a complete grievance. Commissioner Fleischbein seconded. None opposed. Judge Schmidt and Ms. Thompson Winingar abstained.	Passed

**Guests:**

Samantha Hellwig, general counsel (AAG)

Christopher Stanley, AOC

Melissa McDermott

Brenda Morales

Christopher Fast

Deborah Jameson

Glenda Voller

Dan Young